

S/N 10/009,036

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|             |                                 |                 |                     |
|-------------|---------------------------------|-----------------|---------------------|
| Applicant:  | Sanberg et al.                  | Examiner:       | Kimberly A. Ballard |
| Serial No.: | 10/009,036                      | Group Art Unit: | 1649                |
| Filed:      | September 30, 2002              | Docket No.:     | LAY-014PCTUS        |
| Title:      | Cell Therapy for Chronic Stroke |                 |                     |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Further to the amendment filed 8/22/2007, we are submitting the articles referenced therein as pdf's. Because these articles were published after the filing date, they are not prior art to this application.

The pdf files included herewith are as follows:

"Recommendations for standards regarding preclinical neuroprotective and restorative drug development." Stroke. 1999 Dec;30(12):2752-8.

"Recommendations for clinical trial evaluation of acute stroke therapies." Stroke. 2001 Jul;32(7):1598-1606.

"Changes in cognitive function after neuronal cell transplantation for basal ganglia stroke." Neurology. 2004 Oct 12;63(7):1320-2.

"Recommendations for advancing development of acute stroke therapies: Stroke Therapy Academic Industry Roundtable 3." Stroke. 2003 Jun;34(6):1539-46. Epub 2003 May 15.

"Neural transplantation for stroke." J Clin Neurosci. 2002 May;9(3):225-30.

"Neuroprotection: establishing proof of concept in human stroke."

Stroke. 2002 Jan;33(1):309-10.

"Neuroprotection is unlikely to be effective in humans using current trial designs." Stroke. 2002 Jan;33(1):306-7.

"Transplantation of cultured human neuronal cells for patients with stroke."  
Neurology. 2000 Aug 22;55(4):565-9.

We believe that no fee is due with this amendment, however the signature on this paper grants permission to debit or credit US PTO Deposit Account No. 50-3956 as needed.

Respectfully submitted,

By their Representatives,

Date Sept. 7, 2007 By Barbara J. Luther  
The Luther Law Firm, PLC  
12198 E. Columbine Dr.  
Scottsdale, AZ 85259  
Barbara J. Luther  
Reg. No. 33,954

**CERTIFICATE UNDER 37 CFR 1.18:** The undersigned hereby certifies that this correspondence is being electronically filed with the United States Patent & Trademark Office on this 7th day of September, 2007.

Chris Dolanwardt

---

Name

Chris Dolanwardt

Signature